UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address; COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 07/22/2010

NOTICE OF ALLOWANCE AND FEE(S) DUE

20999 7590 07/22/2010 FROMMER LAWRENCE & HAUG 745 FIFTH AVENUE- 10TH FL. NEW YORK, NY 10151 EXAMINER
SHANG, ANNAN Q

ART UNIT PAPER NUMBER
2424

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/889,380	07/16/2001	Masashi Nakamura	450106-02849	3746

TITLE OF INVENTION: METHOD AND APPARATUS FOR DIGITAL SIGNAL PROCESSING

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	10/22/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notificat	form should be used to correspondence including d below or directed off ions.	or transmitting the ISSI og the Patent, advance of nerwise in Block 1, by (hould be completed where correspondence address as trate "FEE ADDRESS" for	
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
20999	7590 07/22	V2010			ficate of Mailing or Trans		
	AWRENCE & HA NUE- 10TH FL. Y 10151	AUG	I he Stat addi tran	salsy cartify that this	Eas(c) Transmittal is being		
						(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	1.	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/889,380	07/16/2001		Masashi Nakamura		450106-02849	3746	
TITLE OF INVENTION:	METHOD AND APPA	ARATUS FOR DIGITAL	SIGNAL PROCESSING				
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1510	\$0	\$0	\$1510	10/22/2010	
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
SHANG, A	NNAN Q	2424	725-132000				
1. Change of corresponde	nce address or indicatio	n of "Fee Address" (37	2. For printing on the p	atent front page, list			
CFR 1.363). Change of correspo	ondence address (or Cha	nge of Correspondence	(1) the names of up to or agents OR, alternative	3 registered patent	attorneys 1		
	ondence address (or Cha 1/122) attached.						
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
			THE PATENT (print or type				
PLEASE NOTE: Unle recordation as set forth	ess an assignee is ident n in 37 CFR 3.11. Com	ified below, no assignee oletion of this form is NO	data will appear on the p T a substitute for filing an	itent. If an assigned assignment.	e is identified below, the d	ocument has been filed for	
(A) NAME OF ASSIC			(B) RESIDENCE: (CITY				
Please check the appropri	ate assignee category or	categories (will not be p	rinted on the patent):	Individual 🗖 Cor	poration or other private gro	oup entity 🚨 Government	
4a. The following fee(s) a	are submitted:	4		se first reapply any	previously paid issue fee	shown above)	
Issue Fee		Sec. 15	A check is enclosed.	1 E PEO 2020			
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
			overpayment, to Depo	sit Account Number	(enclose a	n extra copy of this form).	
	SMALL ENTITY state	is. See 37 CFR 1.27.	☐ b. Applicant is no long	ger claiming SMALI	LENTITY status. See 37 Cl	FR 1.27(g)(2).	
NOTE: The Issue Fee and interest as shown by the r	I Publication Fee (if req ecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than t c Office.	ne applicant; a regist	ered attorney or agent; or th	ne assignee or other party in	
Authorized Signature				Date			
Typed or printed name				Registration No			
This collection of informa an application. Confident submitting the completed this form and/or suggestic Box 1450, Alexandria, V. Alexandria, Virginia 223	ation is required by 37 C iality is governed by 35 application form to the ons for reducing this bu irginia 22313-1450. DO 13-1450.	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est r depending upon the indiv the Chief Information Office COMPLETED FORMS TO	etain a benefit by the imated to take 12 m idual case. Any con r, U.S. Patent and T D THIS ADDRESS.	e public which is to file (and inutes to complete, includin ments on the amount of ti- rademark Office, U.S. Dep. SEND TO: Commissioner	by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

COMMISSIONER FOR PATENTS P O Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	1	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/889,380	07/16/2001		Masashi Nakamura	450106-02849	3746
20999	7590	07/22/2010		EXAM	IINER
FROMMER L.	AWREN	ICE & HAUG	SHANG, ANNAN Q		
745 FIFTH AVENUE- 10TH FL. NEW YORK, NY 10151			ART UNIT	PAPER NUMBER	
				2424	
			DATE MAILED: 07/22/2010		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1071 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1071 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

Application No.	Applicant(s)
09/889,380	NAKAMURA ET AL.
Examiner	Art Unit
ANNAN O. SHANG	2424

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable. PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to amendment filed 05/21/10.
- The allowed claim(s) is/are 1,2,6-12,25,13,14 and 18-24 and renumbered as 1-17 respectively.
- Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - b) ☐ Some* c) ☐ None of the: a) \square All
 - 1.

 Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No.
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received:

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) hereto or 2) to Paper No./Mail Date
 - (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6.

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
- ☐ Examiner's Comment Regarding Requirement for Deposit
- Paper No./Mail Date 6/11/10 of Biological Material
- 5. Notice of Informal Patent Application
- 6. Interview Summary (PTO-413), Paper No./Mail Date
- 7. T Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other .

Application/Control Number: 09/889,380 Page 2

Art Unit: 2424

DETAILED ACTION

Allowable Subject Matter

- 1. Claims 1, 2, 6-12, 13, 14, 18-25 are allowed.
- 2. The following is an examiner's statement of reasons for allowance: With respect to independent claims 1 and 13, the instant invention is directed to a method for receiving files sent by a central server to an audiovisual data reproduction system manage by an operating system and linked to the server using a data transfer link method for representing interconnections of a plurality of elements of a video-ondemand services system; An article of manufacture comprising a computer usable medium having computer readable program code means...for causing representation of interconnection of a plurality of elements of a video-on-demand system; An architecture for providing information about a video-on-demand service system and a method of providing multilevel information about a plurality of video-on-demand related entities and resources, respectively. The relevant prior art of record, such as Chimoto et al. (5,838,383) disclose multimedia TV receiver and method of booting the same. Arsenault et al (5,886,995) disclose dynamic mapping of broadcast resources. Maissel et al (6.637.029) disclose an intelligent EPG and providing ads or alerts based on audience statistical viewing data. Walker et al (6,131,086) disclose method and system for allowing viewers to purchase program products. Peters et al (6,374,336) disclose a computer system and process for transferring multiple streams of data stored on multiple storage units. Dev et al (5,295,244) disclose network management system using interconnected hierarchies to represent different network dimensions in multiple

Application/Control Number: 09/889,380

Art Unit: 2424

display views. However, neither **Ballhorn**, **Arsenault**, **Maissel**, **Walker**, **Peters nor Dev** and any of the cited references teach or suggest, alone or in combination the feature of "...each of the interconnections of the matrix represets a logical connection of presentation flowing from one primary server to one secondary server and multiple presentation are flowing between the one primary server and the one secondary server, and the multiple presentations are represented by a stack of blocks shown on each of the intersections, each of the blocks representing a different one of the multiple presentations." as recited in combination with other features of independent claims 18, 24, 25 and 29.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

- The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- Any inquiry concerning this communication or earlier communications from the examiner should be directed to Annan Q. Shang whose telephone number is 571-272-7355. The examiner can normally be reached on 700am-400pm.

Art Unit: 2424

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Christopher S. Kelley** can be reached on **571-272-7331**. The fax phone number for the organization where this application or proceeding is assigned is **571-273-8300**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Annan Q. Shang